

2022 Funding Conditions - Significant changes to conditions				
Fund	Condition	Condition reference	Current wording	New 2022 Condition
Base Funding Conditions and Ba	2.1 Supply of information	2.1.(f)	(f) If you are Te Pūkenga, you must: (i) give us (or an organisation specified by us) monthly financial information that relates to each Te Pūkenga subsidiary: A. in a format agreed by us; and B. no later than 5pm on the last day of the month following the month to which the information relates; and (ii) if requested by us, give us full copies of the papers from each Te Pūkenga subsidiary board meeting no later than 5 working days after the meeting has taken place (or as otherwise agreed by us).	Condition removed and no longer applies.
Base Funding Conditions and Ba	3.2 Communication and relationship management	3.2	If you expect that you will not meet the Performance Commitments set out in your Investment Plan and/or the Minimum Requirements (as applicable), or that you will not comply with a Condition, you must advise us in writing as soon as possible.	If you expect that you will not meet the Performance Commitments set out in your Investment Plan and/or the Minimum Requirements (as applicable), or that you will not comply with a Condition, you must advise us in writing as soon as possible and no later than 10 working days after you become aware that you are unlikely to meet those Performance Commitments and/or Minimum Requirements, or if you will not comply with a Condition.
Intensive Literacy and Numeracy - English for Speakers of Other Languages	7. Hours and intensity of delivery	7.(a)	The total hours of ESOL tuition you deliver per learner must be between 20 and 500 hours per year	The total hours of ESOL tuition you deliver per learner is generally expected to be between 20 and 500 hours per year, acknowledging some learners having needs of more or fewer hours.
Intensive Literacy and Numeracy - Refugee English	2. Learner eligibility	2.(a).(ii)	You must ensure that ILN Refugee English Fund Funding is only used in respect of a learner who is and continues to be: - of a refugee background, which covers refugees, protected persons, their partner and/or children, and other family sponsored for a New Zealand resident class visa by a refugee or protected person, and includes the 30 Afghan interpreters who worked for the New Zealand Provisional Reconstruction team in Afghanistan and their dependants who were granted residency.	You must ensure that ILN Refugee English Fund Funding is only used in respect of a learner who is and continues to be: - of a refugee background, which covers refugees, protected persons, their partner and/or children, and other family that gained New Zealand residence class visa due to their relationship to a refugee or protected person, and includes the Afghan interpreters who worked for the New Zealand-led Provisional Reconstruction team in Afghanistan and their dependants who were granted residency.
Intensive Literacy and Numeracy - Refugee English	8. Reports	8.(a)	You must submit a report for the period 1 January to 31 December no later than 31 January of the following year.	(a) You, or if you are Te Pūkenga the relevant Te Pūkenga subsidiaries, must submit: (i) a progress report for the period 1 January to 31 May no later than 10 working days after 31 May; (ii) a progress report for the period 1 January to 30 September no later than 10 working days after 30 September; and (iii) a final report for the period 1 January to 31 December no later than 31 January of the following year.
Industry Training Fund	Purpose of Industry Training Fund	Purpose	The purpose of the Industry Training Fund is to: (a) subsidise formal, structured employment-based training linked to qualifications, predominantly at Levels 1 to 4 on the New Zealand Qualifications Framework (NZQF); and (b) encourage structured training by supporting training organisations and organisations participating in the ITF direct funding scheme in their management of on- and off-job training.	The purpose of the Industry Training Fund is to: (a) subsidise tertiary education organisations (TEOs) involved in formal, structured employment-based training linked to qualifications, predominantly at Levels 1 to 4 on the New Zealand Qualifications Framework (NZQF); and (b) encourage structured training by supporting eligible organisations participating in the Industry Training Fund direct funding scheme in their management of on- and off-job training; and (c) fund TEOs for industry training-related projects.
Industry Training Fund	Purpose of Industry Training Fund	Purpose	Funding from the Industry Training Fund can also be applied to industry training-related projects. Entities described as 'training organisations' within these Conditions include: (a) transitional ITOs recognised under clause 44, Schedule 1 of the Education and Training Act to carry out the activities specified in clause 45(3), Schedule 1 of the Education and Training Act; and (b) providers to whom responsibility is transferred for the purposes of the elements of a transition plan (referred to in clause 52, Schedule 1 of the Education and Training Act); and (c) providers of education and training for the purposes of training agreements (see the definition of "training agreement" in section 10(1) of the Education and Training Act); and (d) providers carrying out apprenticeship training activities under section 376 of the Education and Training Act.	The Industry Training Fund authorises the Tertiary Education Commission to fund the following: (a) transitional ITOs recognised under clause 44, Schedule 1 of the Education and Training Act (the Act) to carry out the activities specified in clause 45(3), Schedule 1 of the Act; and (b) providers to whom responsibility is transferred pursuant to a transition plan approved by the TEC under clause 52, Schedule 1 of the Act; and (c) providers of education and training under training agreements (see the definition of "training agreement" in section 10(1) of the Act); and (d) providers carrying out any of the apprenticeship training activities listed in section 376 of the Act; and (e) eligible organisations participating in the Industry Training Fund direct funding scheme, as defined in Condition 1.2.
Industry Training Fund	6. Charging of fees	6.(a)	If we have advised you that an industry trainee or apprentice is eligible for fees-free tertiary education provision and that we will pay you an amount in respect of fees on behalf of the industry trainee or apprentice (or their employer), you must not charge the industry trainee or apprentice (or their employer) that amount, in respect of those fees.	If we have advised you that an industry trainee or New Zealand Apprentice is eligible for fees-free tertiary education provision and that we will pay you an amount in respect of fees on behalf of the industry trainee or New Zealand Apprentice (or their employer), or (if you are a private training establishment) to compensate you for fees forgone, you must not charge the industry trainee or New Zealand Apprentice (or their employer) that amount, in respect of those fees.
Intensive Literacy and Numeracy Fund	6. Hours and intensity of delivery	6.(a)	The total hours of ESOL tuition you deliver per learner must be between 80 and 300 hours per year	The total hours of literacy, numeracy, or literacy and numeracy tuition you deliver per learner is generally expected to be between 80 and 300 hours per year, acknowledging some learners having needs for more or fewer hours.
TEO-led Workplace Literacy and Numeracy	6. Hours and intensity of delivery	6.(a)	(a) The total hours of literacy, numeracy, or literacy and numeracy tuition you deliver per learner must be between 25 and 80 hours.	The total hours of literacy, numeracy, or literacy and numeracy tuition you deliver per learner is generally expected to be between 25 and 80 hours, acknowledging some learners having need of more or fewer hours.
Employer-led Workplace Literacy and Numeracy	6. Hours and intensity of delivery	6.(a)	(a) The total hours of literacy, numeracy, or literacy and numeracy tuition you deliver per learner must be between 25 and 80 hours.	The total hours of literacy, numeracy, or literacy and numeracy tuition you deliver per learner is generally expected to be between 25 and 80 hours, acknowledging some learners having need of more or fewer hours.
Māori and Pacifica Trades Training Fund (Fees Top-ups Fund Funding and Brokerage Services Fund Funding)	4. Eligible programmes and training schemes for MPTT Fees Top-ups	4.(b)(ii)	(a) You must not use MPTT Fees Top-ups Fund Funding for:	(b) You must not use MPTT Fees Top-ups Fund Funding for: (ii) a training scheme if we consider on reasonable grounds that a majority of the training scheme relates to health and safety or regulatory compliance learning.
Student Achievement Component - Qualifications at Levels 1 and 2 on the NZQF Fund	5.5 Assigning EFTS values to qualifications	5.5.(d)	New paragraph	If you submit a qualification for approval for SAC 1&2 Fund Funding, and NZQA has approved you to deliver a qualification in both trades (50% or more of the courses are in course classifications codes C1, L1 and P1) and non-trades strands (each leading to the same NZQF qualification), you must enter a separate code in STEO for each of the two strands.
Student Achievement Component - Provision at level 3 and above on the NZQF Fund	9. Fee Limits	9.(c)(iv)	New paragraph	(iv) Fees: A. means tuition fees; B. means compulsory course costs that are charged to all students enrolled in a course, which includes (but is not limited to) the following: any compulsory costs associated with enrolment, examinations (including reporting of credits to the NZQA), field trips, and any compulsory purchase of equipment or books through you; and C. excludes any administrative fees or charges (other than tuition fees of compulsory course costs) for additional services that are payable as a result of the specific circumstances of a student, which includes (but is not limited to) the following: reassessment or remarking of examination results, examination relocation fees, fees associated with recognition of prior learning or fees associated with an application for selected entry programmes.
Youth Guarantee	Flexible Funding	Flexible Funding (a)(i)(B)	... are approved by us to receive a minimum of \$223,400 from the YG Fund	... are approved by us to receive a minimum of \$226,081 from the YG Fund for the calendar year

Youth Guarantee	5.4 Assigning EFTS values to qualifications	5.4 (d)	New paragraph	If you submit a qualification for approval for YG Fund Funding, and NZQA has approved you to deliver a qualification in both trades (50% or more of the courses are in course classifications codes C1, L1 and P1) and non-trades strands (each leading to the same NZQF qualification), you must enter two qualification codes in STEQ to reflect each of the two strands.
Youth Guarantee	10. Travel assistance funding	10	Travel assistance funding is the travel subsidy of \$827 per EFTS and the Exceptional Circumstances Transport Assistance Fund (ECTA Fund) Funding.	Travel assistance funding is the travel subsidy of \$837 per EFTS and the Exceptional Circumstances Transport Assistance Fund (ECTA Fund) Funding.
Level 1 - 6 Qualification Development Fund	Whole Funding Condition		New paragraphs	Funding conditions have been amended to allow micro-credentials to be included in the Qualification Development Fund.
Equity Fund	Purpose of fund	Purpose of fund (b)	New paragraph	The purpose of equity funding for young learners with low prior attainment is to improve achievement of qualifications between Level 3 and Level 7 (excluding degree study) on the NZQF and all industry training.
Equity Fund	1. Organisation eligibility	Māori and Pacific learners and young learners with low prior attainment 1.1 (b)	To receive Equity Fund Funding for Māori and Pacific learners, you must continue to: (b) receive SAC 3+ Fund funding under the Determination of Design of Funding Mechanism: Student Achievement Component – provision at Level 3 and above on the NZQF or receive Industry Training Fund funding under the Determination of Design of Funding Mechanism: Industry Training Fund.	To receive Equity Fund Funding for Māori and Pacific learners, you must continue to: (b) an organisation that receives Industry Training Fund funding under the <i>Determination of Design of Funding Mechanism: Industry Training Fund</i> , excluding any organisation that only receives Industry Training Fund funding for industry training-related projects.
Equity Fund	1. Organisation eligibility	Disabled learners 1.2	New paragraph and paragraph 1.3 removed	1.2 To receive Equity Fund Funding for disabled learners, you must continue to: (b) be a TEO that receives Industry Training Fund Funding under the <i>Determination of Design of Funding Mechanism: Industry Training Fund</i> ; or (c) be a PTE that receives SAC Level 3+ Fund Funding under the <i>Determination of Design of Funding Mechanism: SAC - provision at level 3 or above on the NZQF</i> for learners enrolled at levels 3 – 7 on the NZQF (excluding degree study).
Equity Fund	2. Learner eligibility	Māori and Pacific learners and young learners with low prior attainment 2.1 and 2.2	New paragraph	2.1 You must ensure that Equity Fund Funding for Māori and Pacific learners and young learners with low prior attainment is only used in respect of learners who: (a) are eligible to be a valid domestic enrolment as defined in Condition 5.1 of the SAC Level 3+ Fund Funding Conditions; or (b) are eligible to be an industry trainee or New Zealand Apprentice as set out in Condition 2 of the Industry Training Fund Funding Conditions. 2.2 A "young learner with low prior attainment" is defined as a learner under the age of 25 as at the date of enrolment who has not previously achieved a qualification on the NZQF at Level 3 or above. Only young learners with low prior attainment enrolled in SAC provision at Level 3 to Level 7 (excluding degree study) on the NZQF, and learners enrolled at all levels of Industry Training are eligible for low prior achievement Equity Fund Funding.
Equity Fund	2. Learner eligibility	Disabled learners 2.3 - 2.5	New paragraph	2.3 If you are an eligible organisation under Condition 1.2 (a) you must ensure that Equity Fund Funding for disabled learners is only used in respect of learners who are, and continue to be, a valid domestic enrolment as defined in Condition 4.1 of the SAC Level 3+ Fund Funding Conditions or Condition 5.1 of the SAC Level 3+ Fund Funding Conditions. 2.4 If you are an eligible organisation under Condition 1.2(b), you must ensure that Equity Fund Funding for disabled learners is only used in respect of learners who meet and continue to meet the eligibility criteria as an industry trainee or New Zealand Apprentice set out in Condition 2 of the ITF Fund Funding Conditions. 2.5 If you are an eligible organisation under Condition 1.2(c), you must ensure that Equity Fund Funding for disabled learners is only used in respect of learners who are, and continue to be, a valid domestic enrolment as defined in Condition 5.1 of the SAC Level 3+ Fund Funding Conditions.
Performance-Based Research Fund	Purpose	Purpose (e)	New paragraph	The primary objectives of the PBRF are to: support a robust and inclusive system for developing and sustaining research excellence in Aotearoa New Zealand
Performance-Based Research Fund	2. Participation in all components of PBRF Funding	2.1 - 2.3	2.1 You must have participated in each of the Quality Evaluation (QE), Research Degree Completions (RDC) and External Research Income (ERI) components of PBRF, even if your PBRF Funding entitlement for one or more of those components is zero, or is likely to be zero.	2.1 If you participate in the Quality Evaluation (QE) component and the Quality Categories assigned to the Evidence Portfolios (EPs) result in a PBRF Funding allocation, you must participate in both the Research Degree Component (RDC) and External Research Income (ERI) components to receive PBRF Funding, even if the score for one or both of these components is zero, or likely to be zero. 2.2 If you participate in the QE component and the Quality Categories assigned to the EPs you submit when participating in the QE component do not result in a PBRF funding allocation, you are not eligible to participate in the RDC and ERI components of the PBRF Fund. 2.3 In order to receive the PBRF Funding, you must have participated in the latest QE and each of the RDC and ERI component of the PBRF Fund.
Performance-Based Research Fund	5. Submitting Evidence Portfolios	5	You must: (a) indicate when an Evidence Portfolio is being submitted in respect of an eligible staff member who is a new and emerging researcher; and (b) if you consider that an Evidence Portfolio is likely to be assigned a quality category of R or R(NE), you must not submit that Evidence Portfolio.	5.1 You must indicate when an Evidence Portfolio is being submitted in respect of an eligible staff member who is: (a) a new and emerging researcher (in accordance with the criteria specified by us); and/or (b) a Māori or Pacific staff member (in accordance with the criteria specified by us). 5.2 If you consider that an Evidence Portfolio is likely to be assigned a quality category of R or R(NE), you must not submit that Evidence Portfolio.
Performance-Based Research Fund	7. Sources of External Research Income	7.1-7.2	7.1 You must, where applicable, report your eligible external research income (ERI) to us, broken down into the following sources: (a) New Zealand government contestable funds; and (b) New Zealand public sector contract research; and (c) New Zealand non-government sources; and (d) overseas research income. 7.2 For the purposes of this Condition, "external research income" includes income from public and private sources for research conducted by an eligible TEO (and/or a wholly owned subsidiary) and includes research income from competitive sources from within Tertiary Education (excluding PBRF).	7.1 You must, where applicable, report your eligible external research income (ERI) to us, broken down into the following sources: (a) Aotearoa New Zealand government contestable funds; and (b) Aotearoa New Zealand public sector contract research; and (c) Aotearoa New Zealand non-government income; and (d) overseas research income. 7.2 For the purposes of this Condition, "external research income" includes income from public and private sources for research conducted by an eligible TEO (and/or a wholly owned subsidiary) and includes research income from competitive sources from within Tertiary Education (excluding PBRF).