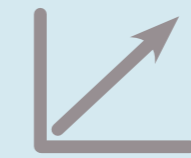


Performance-Based Research Fund

Historical Quality Evaluation Results



The PBRF allocated **\$300 million in 2017**, up from **\$18.6 million in 2004** (includes the phased implementation of the PBRF and increased funding)

60%

of the fund was allocated through the Quality Evaluation results

The Performance-Based Research Fund (PBRF) encourages and rewards the breadth and diversity of research excellence and its role in supporting and developing New Zealand and our tertiary education sector. The PBRF Quality Evaluation is an assessment of the research performance of staff at participating tertiary education organisations and is the major component of the PBRF.

Gender



F: 23.9% M: 50.3% Unknown: 25.8%



F: 33.7% M: 66.3% Unknown: less than 1%



F: 38.8% M: 61.0% Unknown: less than 1%

Employment



Full-time: 92.5%



***Part-time: 7.5%**

*Defined as staff who are less than 1 FTE.



Full-time: 92.6%



***Part-time: 7.4%**

*Defined as staff who are less than 1 FTE.



Full-time: 90.1%



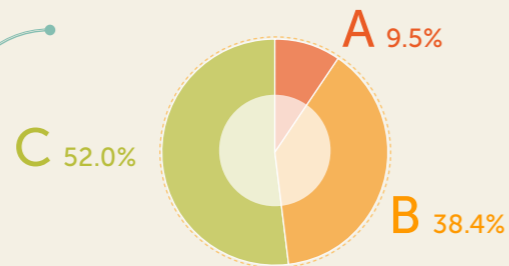
***Part-time: 9.9%**

*Defined as staff who are less than 1 FTE.

Quality Categories

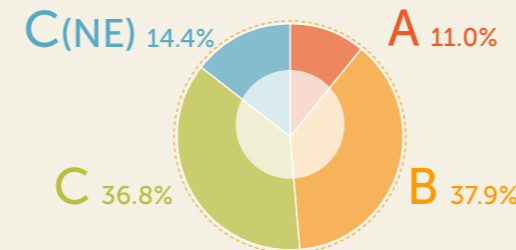
Quality Category A – world-class research
Quality Category B – research at a national level
Quality Category C – research within researcher's organisation or the wider community
Quality Category C(NE) – for new and emerging researchers only, emerging research platform.

2003



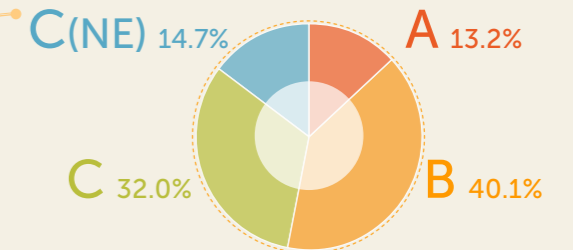
Quality Categories assigned to EPs of **4,461.51** PBRF-eligible staff.

2006



Quality Categories assigned to EPs of **5,450.37** PBRF-eligible staff.

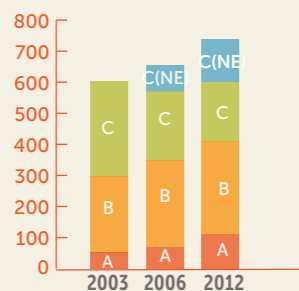
2012



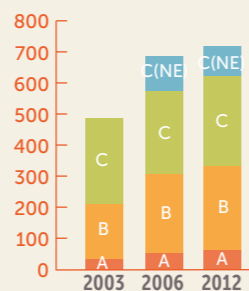
Quality Categories assigned to EPs of **6,312.18** PBRF-eligible staff.

Distribution of funded Quality Categories by panel

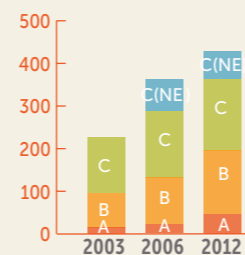
Biological Science



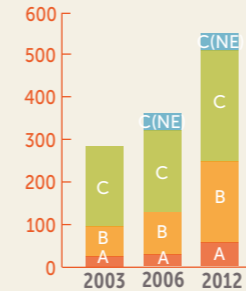
Business & Economics



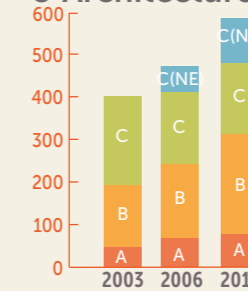
Creative & Performing Arts



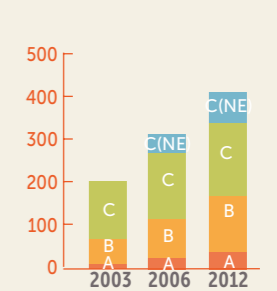
Education



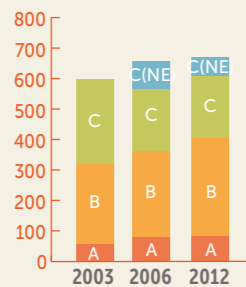
Engineering, Technology & Architecture



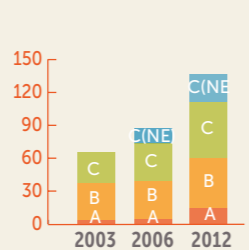
Health



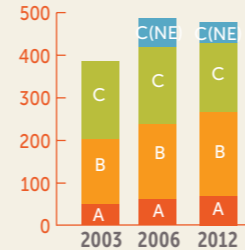
Humanities & Law



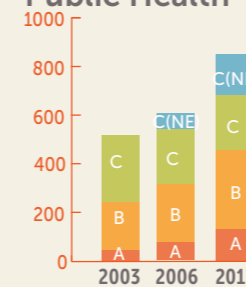
Māori Knowledge & Development



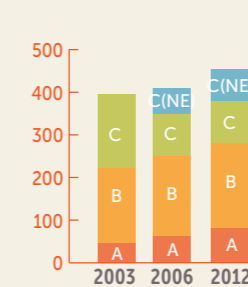
Mathematical & Information Sciences & Technology



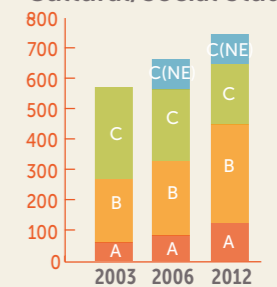
Medicine & Public Health



Physical Sciences

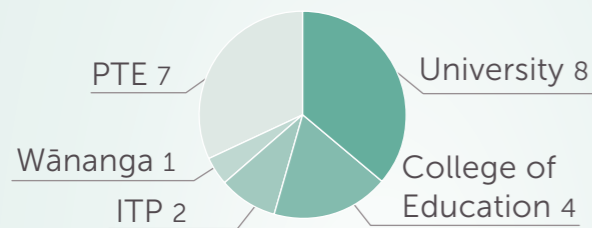


Social Sciences and Other Cultural/Social Studies



2003

- Developed in 2002, the PBRF has three components: the Quality Evaluation, a peer-review process, and two performance indicators, Research Degree Completion and External Research Income.
- Designed to encourage quality and replace a 'research top-up' system based on learner enrolment.



2003

	Number/ Percentage
Evidence Portfolios (EPs) received	5,771
Nominated Research Outputs (NROs)	22,583
Other Research Outputs (OROs)	102,921
NROs examined	29%
Average number of research outputs (NROs and OROs) per EP	21.7
Average number of Peer Esteem entries per EP	10
Average number of Contribution to the Research Environment per EP	9
EPs claiming special circumstances	75%

98.2%

Universities

1.6% Institutes of Technology and Polytechnics

0.1% Wānanga

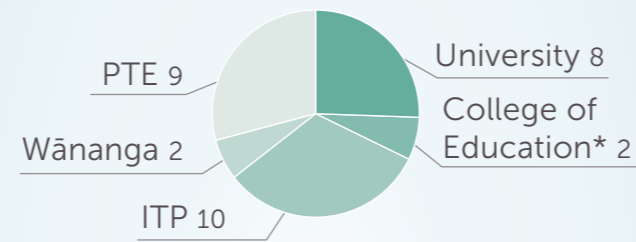
0.1% Private Training Establishments

2006

Key changes in the three Quality Evaluations

- Conducted as a partial round, PBRF-eligible staff who participated in the 2003 Quality Evaluation had the option of carrying over their Quality Category scores to the 2006 round.
- Allocation of research funding via the PBRF reached 100% in 2007. This was the final transition from research top-ups to the PBRF.
- Introduction of new and emerging researcher category.

Types of TEOs participating in each Quality Evaluation



*Provision was made for the separate reporting of the former Auckland and Wellington colleges of education.

Quality Evaluations at a glance

2006

	Number/ Percentage
Evidence Portfolios (EPs) received	4,532
Nominated Research Outputs (NROs)	17,908
Other Research Outputs (OROs)	72,378
NROs examined	59%
Average number of research outputs (NROs and OROs) per EP	19
Average number of Peer Esteem entries per EP	14
Average number of Contribution to the Research Environment per EP	13
EPs claiming special circumstances	59%

96.6%

Universities

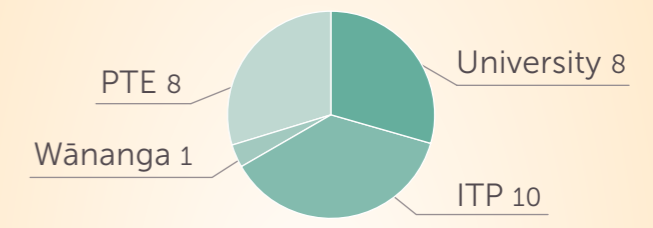
3.0% Institutes of Technology and Polytechnics

0.3% Wānanga

0.1% Private Training Establishments

2012

- Introduction of Pacific Expert Advisory Group and Professional and Applied Research Expert Advisory Group to provide advice on EP assessment.
- Establishment of the Canterbury earthquakes special circumstances and appointment of a special advisor.
- Introduction of an online system for submission and assessment, which allowed greater efficiency and increased the examination of research outputs.



2012

	Number/ Percentage
Evidence Portfolios (EPs) received	7,356
Nominated Research Outputs (NROs)	29,332
Other Research Outputs (OROs)	134,878
NROs examined	80%
Average number of research outputs (NROs and OROs) per EP	22
Average number of Peer Esteem entries per EP	17
Average number of Contribution to the Research Environment per EP	16
EPs claiming special circumstances	37%*

*This includes 4.1% that also claimed Canterbury special circumstances. An additional 6.4% claimed only Canterbury special circumstances.

96.6%

Universities

3.1% Institutes of Technology and Polytechnics

0.2% Wānanga

0.1% Private Training Establishments

Percentage of Quality Evaluation funding received by subsector